

|   |   |   |
|---|---|---|
| FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE<br>TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371  |   | ATTORNEY'S DOCKET NUMBER<br>294530US0X PCT                          |
|   |   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/588313</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/JP05/01717   | INTERNATIONAL FILING DATE<br>February 4, 2005 | PRIORITY DATE CLAIMED<br>February 4, 2004 earliest                  |
| TITLE OF INVENTION<br><b>CALIXARENE COMPOUND, PROCESS FOR PRODUCING THE SAME, INTERMEDIATE THEREFOR, AND COMPOSITION THEREOF</b>  |   |   |
| APPLICANT(S) FOR DO/EO/US<br><b>Tadatomi NISHIKUBO, et al.</b>  |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |   |   |
| <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> </p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ul> </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> If the declaration is in a language other than the English language, it is accompanied by an English translation. The translation is accurate (37 CFR 1.69)</li> </ul> </p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</p> <p>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</p> <p>12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</p> <p><b>Items 13 to 23 below concern document(s) or information included:</b></p> <p>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> PTO-1449</li> <li>b. <input checked="" type="checkbox"/> Cited References 2</li> <li>c. <input type="checkbox"/> Statement of Relevancy</li> <li>d. <input type="checkbox"/> List of Related Cases.</li> </ul> </p> <p>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>17. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>18. <input type="checkbox"/> A substitute specification.</p> <p>19. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>20. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</p> <p>21. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>22. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</p> <p>23. <input checked="" type="checkbox"/> Other items or information: Notice of Priority/Drawings (6 sheets)/PCT/IB/308</p> |   |   |

|  |              |  |      |  |          |
|--|--------------|--|------|--|----------|
| U.S. APPLICATION NO. (Unknown See 37 CFR 1.5)  |              | INTERNATIONAL APPLICATION NO.<br>PCT/JP05/01717                        |      | ATTORNEY'S DOCKET NUMBER<br>294530US0X PCT |          |
| The following fees are submitted:  |              |  |      | CALCULATIONS PTO USE ONLY                  |          |
| 24. <input checked="" type="checkbox"/> Basic national fee..... \$300  |              |  |      | \$300.00                                   |          |
| 25. <input checked="" type="checkbox"/> Examination fee<br>If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .... \$0<br>All other situations..... \$200  |              |  |      | \$200.00                                   |          |
| 26. <input checked="" type="checkbox"/> Search fee<br>If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).... \$0<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100<br>International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... \$400<br>All other situations..... \$500   |              |  |      | \$400.00                                   |          |
| <b>TOTAL OF 24, 25 AND 26 =</b>  |              |  |      | \$900.00                                   |          |
| <input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |              |  |      |  |          |
| Total Sheets   | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) |      | RATE                                       |          |
| 112 - 100 =  | 12 / 50 =    | 1  |      | x \$250.00                                 | \$250.00 |
| <input checked="" type="checkbox"/> Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  |              |  |      | \$130.00                                   |          |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA   | RATE |  |          |
| Total claims   | 28           | - 20 =   | 8    | x \$50.00                                  | \$400.00 |
| Independent claims   | 4            | - 3 =  | 1    | x \$200.00                                 | \$200.00 |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input checked="" type="checkbox"/> +  |              |  |      | \$360.00                                   |          |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |  |      | \$2,240.00                                 |          |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.   |              |  |      |  |          |
| <b>SUBTOTAL =</b> \$2,240.00   |              |  |      |  |          |
| <input type="checkbox"/> Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). + \$  |              |  |      |  |          |
| <b>TOTAL NATIONAL FEE =</b> \$2,240.00   |              |  |      |  |          |
| <input type="checkbox"/> Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$   |              |  |      |  |          |
| <input type="checkbox"/> Petition fee of \$1,500.00 for Petition to Revive (37 CFR 1.137 (b)). + \$  |              |  |      |  |          |
| <b>TOTAL FEES ENCLOSED =</b> \$2,240.00  |              |  |      |  |          |
| <b>Amount to be refunded:</b> \$   |              |  |      |  |          |
| <b>Amount to be charged:</b> \$  |              |  |      |  |          |
| <p>a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>15-0030</u> in the amount of \$_____ to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0030</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> |              |  |      |  |          |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.   |              |  |      |  |          |
| SEND ALL CORRESPONDCE TO:  |              |  |      |  |          |
| CUSTOMER NUMBER  |              |  |      |  |          |
| 22850  |              |  |      |  |          |
| Tel. (703) 413-3000  |              |  |      |  |          |
| Fax. (703) 413-2220  |              |  |      |  |          |
| (OSMMN 1/06)   |              |  |      |  |          |
| SIGNATURE  |              |  |      |  |          |
| Norman F. Oblon  |              |  |      |  |          |
| NAME   |              |  |      |  |          |
| 24,618   |              |  |      |  |          |
| REGISTRATION NUMBER  |              |  |      |  |          |